

BAKER BOTTS LLP

Pleasetypeaplusign(+)insidethisbo

**RECEIVED**

JUL 27 2001

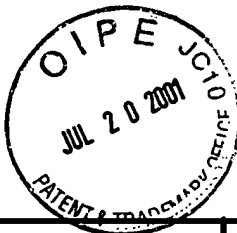
TECH CENTER 1600/2900

TRANSMITTAL FORM <small>(tobeusedforallcorrespondenceafterinitialfiling)</small>	ApplicationNumber	09/801,371	
	FilingDate	March 7, 2001	
	FirstNamedInventor	Kaempfer	
	GroupArtUnit	1645	
	ExaminerName		
TotalNumberOfPagesinThisSubmission		AttorneyDocketNumber	

ENCLOSURES (checkallthatapply)		
<input checked="" type="checkbox"/> FeeTransmittalForm	<input type="checkbox"/> AssignmentPapers <small>(foranApplication)</small>	<input type="checkbox"/> AfterAllowanceCommunication toGroup
<input checked="" type="checkbox"/> FeeAttached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> AppealCommunicationtoBoard ofAppealsand Interferences
<input type="checkbox"/> Amendment/Reply	<input type="checkbox"/> Licensing-relatedPapers	<input type="checkbox"/> AppealCommunicationtoGroup <small>(AppealNotice,Brief,ReplyBrief)</small>
<input type="checkbox"/> AfterFinal	<input type="checkbox"/> Petition	<input type="checkbox"/> ProprietaryInformation
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> PetitiontoConverttoa ProvisionalApplication	<input type="checkbox"/> StatusLetter
<input type="checkbox"/> ExtensionofTimeRequest	<input type="checkbox"/> PowerofAttorney,Revocation ChangeofCorrespondence Address	<input type="checkbox"/> OtherEnclosure(s)(please identifybelow) :
<input type="checkbox"/> ExpressAbandonmentRequest	<input type="checkbox"/> TerminalDisclaimer	
<input type="checkbox"/> InformationDisclosureStatement	<input type="checkbox"/> RequestforRefund	
<input type="checkbox"/> CertifiedCopyofPriority Document(s)	<input type="checkbox"/> CD,NumberofCD(s) _____	
<input checked="" type="checkbox"/> ResponsetoMissingParts/ IncompleteApplication	Remarks <input type="checkbox"/>	
<input checked="" type="checkbox"/> ResponsetoMissingParts under37CFR1.52or1.53		

SIGNATUREOFAPPLICANT,ATTORNEY,ORAGENT	
Firm or Individualname	BakerBotts LLP 30 Rockefeller Plaza New York, NY 10112
Signature	<i>Rochelle K. Seide</i> Att Name: Rochelle K. Seide PTO Reg: 32,300
Date	7/18/01

CERTIFICATEOFMAILING	
IherebycertifythatthiscorrespondenceisbeingdepositedwiththeUnitedStatesPostalServicewithsufficientpostageasfirstclass mailinanenvelopeaddressedto:CommissionerforPatents,Washington,DC20231onthisdate: July 18, 2001	
Typedorprintedname	Rochelle K. Seide
Signature	<i>Rochelle K. Seide</i>
Date	July 18, 2001



FEETRANSMITTAL for FY2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

(\$ 55

Complete if Known

Application Number	09/801,371
Filing Date	March 7, 2001
First Named Inventor	Kaempfer
Examiner Name	
Group Art Unit	1645
Attorney Docket No.	

METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit
Account
Number

02-4377

Deposit
Account
Name

Baker Botts LLP

- ☒ Charge Any Additional Fee Required
Under 37 CFR 1.16 and 1.17

- ☐ Applicant claims small entity status.
See 37 CFR 1.27

2. ☐ Payment Enclosed:

☐ Check ☐ Credit Card ☐ Money
Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Small Entity

Fee (\$)	Fee (\$)	Fee Description	Fee Paid
710	355	Utility filing fee	
320	160	Design filing fee	
490	245	Plant filing fee	
710	355	Reissue filing fee	
150	75	Provisional filing fee	

SUBTOTAL(1) (\$ 0

2. EXTRA CLAIM FEES

Total Claims = 20
Independent Claims = 3
Multiple Dependent Claims = 17

Extra Claims Fee from below Fee Paid

0 x 0 = 0
3 x 0 = 0
17 x 0 = 0

Large Entity Small Entity

Fee (\$)	Fee (\$)	Fee Description
18	9	Claims in excess of 20
80	40	Independent claims in excess of 3
270	135	Multiple dependent claim, if not paid
80	40	**Reissue independent claims over original patent
18	9	**Reissue claims in excess of 20 and over original patent

SUBTOTAL(2) (\$ 0

*or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description	Fee Paid
130	65	Surcharge-late filing fee or oath	
50	25	Surcharge-late provisional filing fee or coversheet	
130	130	Non-English specification	
2,520	2,520	For filing a request for ex parte reexamination	
920*	920*	Requesting publication of SI R prior to Examiner action	
1,840*	1,840*	Requesting publication of SI R after Examiner action	55
110	55	Extension for reply within first month	
390	195	Extension for reply within second month	
890	445	Extension for reply within third month	
1,390	695	Extension for reply within fourth month	
1,890	945	Extension for reply within fifth month	
310	155	Notice of Appeal	
310	155	Filing a brief in support of an appeal	
270	135	Request for oral hearing	
1,510	1,510	Petition to institute a public use proceeding	
110	55	Petition to revive-unavoidable	
1,240	620	Petition to revive-unintentional	
1,240	620	Utility issue fee (or reissue)	
440	220	Design issue fee	
600	300	Plant issue fee	
130	130	Petition to the Commissioner	
50	50	Processing fee under 37 CFR 1.17(q)	
180	180	Submission of Information Disclosure Stmt	
40	40	Recording each patent assignment per property (times number of properties)	
710	355	Filing a submission after final rejection (37 CFR § 1.129(a))	
710	355	For each additional invention to be examined (37 CFR § 1.129(b))	
710	355	Request for Continued Examination (RCE)	
900	900	Request for expedited examination of design application	

Other fee (specify) _____

*Reduced by Basic Filing Fee Paid

SUBTOTAL(3) (\$ 55

SUBMITTED BY

Name (Print/Type)	Rochelle K. Seide	Registration No. (Attorney/Agent)	32,300	Telephone	
Signature	<i>Rochelle K. Seide</i>	Date	July 18, 2001		

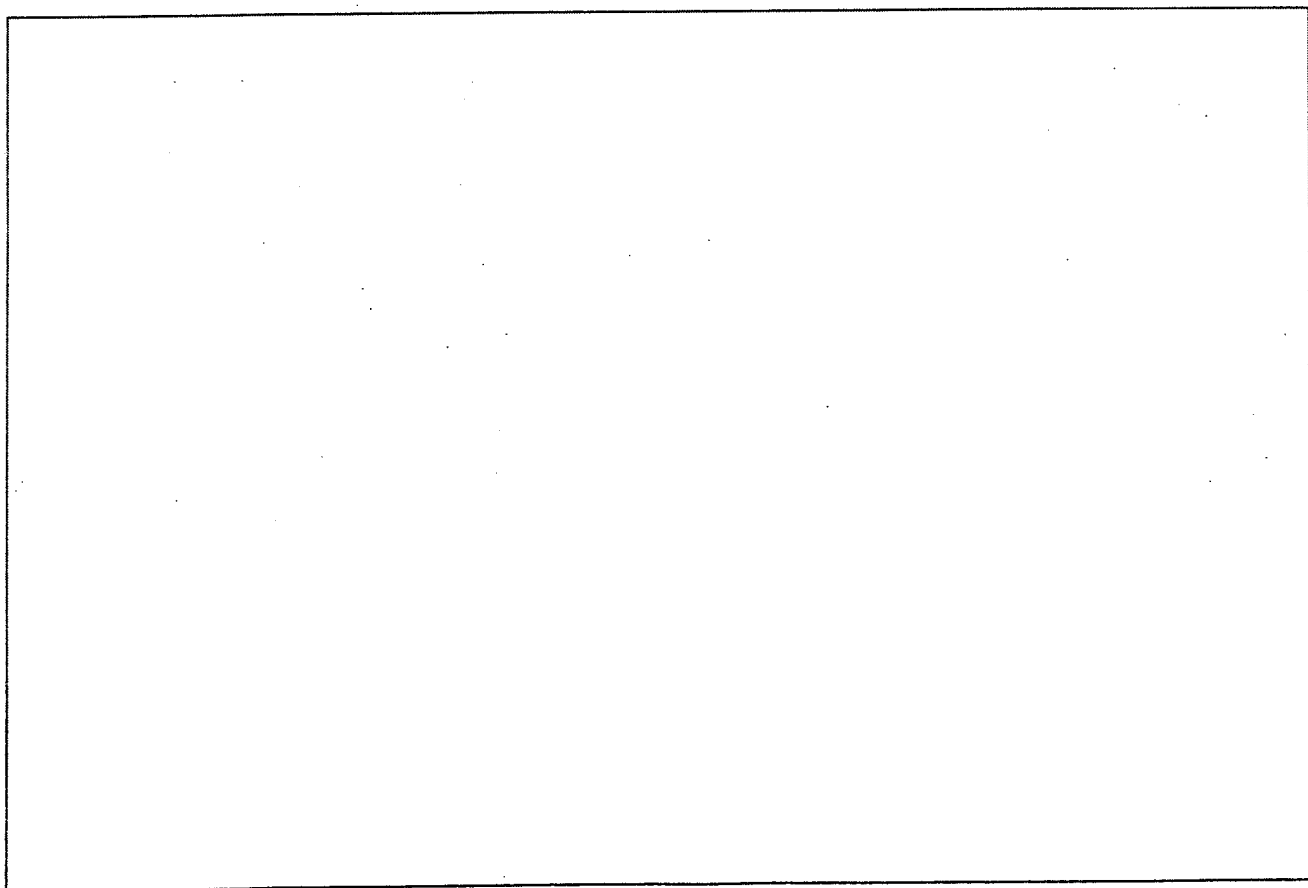
Complete (if applicable)

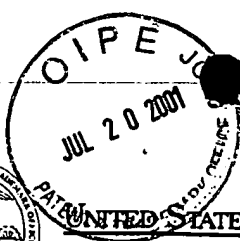
WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

BAKER BOTTS LLP

Attorney Docket Number:

Title: REGULATION OF GENE EXPRESSION THROUGH MANIPULATION OF m RNA SPLICING AND





01 JUL -3 1 AM 9: 31

TO

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/801,371	03/07/2001	Raymond Kaempfer	A34084 PCT USA-A

21003
BAKER & BOTTS
30 ROCKEFELLER PLAZA
NEW YORK, NY 10112

RECEIVED

JUL 27 2001

CONFIRMATION NO. 9946

FORMALITIES LETTER



OC000000006242557

TECH CENTER 1600/2900

Date Mailed: 06/29/2001

NOTICE OF INCOMPLETE REPLY (NONPROVISIONAL)

Filing Date Granted

The U.S. Patent and Trademark Office has received your reply on to the Notice mailed and it has been entered into the nonprovisional application. The reply, however, does not include the following items required in the Notice.

The period of reply remains as set forth in the Notice. You may, however, obtain EXTENSIONS OF TIME under the provisions of 37 CFR 1.136 (a) accompanied by the appropriate fee (37 CFR 1.17(a)).

A complete reply must be timely filed to prevent ABANDONMENT of the above-identified application.

- An abstract was not provided for this application. An abstract of the technical disclosure is required under 37 CFR 1.72(b).
- This application does not contain a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). Applicant must provide such statement. If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000).
- A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 C.F.R. 1.821(e). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216

Docketed

For 10/18/2001 By

- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

*A copy of this notice **MUST** be returned with the reply.*



Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY